

**WORKFORCE ALLIANCE SERVICE PROVIDER  
CERTIFICATE OF DEBARMENT AND SUSPENSION**

SERVICE PROVIDER: \_\_\_\_\_

**DEBARMENT AND SUSPENSE REGULATIONS**

Proposer's and their Contractors are to require applicants for Federal assistance funds to submit a Certification of Debarment and Suspension. Sub-recipients and their subgrantees may rely on the certification document and are not required to check the List of Parties Excluded from Procurement of Non-Procurement Programs prior to approving contract. The Certificate, however, must be on file with the Sub-recipient for each covered transaction.

**COVERAGE:** Covered transactions include, but are not limited to, grants, cooperative agreements, payment or specified use and subcontracts. Non-mandatory awards to Sub-recipients are also covered by the regulations, e.g. competitive awards to Sub-recipients.

Sub-tier grantees are those below the level where funding is an entitlement of is mandatory. These include all grantees other than States, State agencies and LAs. The requirement to obtain a signed certificate is only for procurement of more than \$25,000.00 except for procurement of services, regardless of the amount, under which the person providing the services will have critical influence on or substantive control over the covered transaction.

**EXCEPTIONS:** Exempt from the regulations are: 1) Grants which are statutory entitlements or mandatory awards. 2) Procurements (contracts) of goods or services for amount less than \$25,000.00 are exempt from the regulations except in those instances in which the person providing the services will have a critical influence or substantive control over the transaction. If the Sub-recipient has individual contracts of less than \$25,000.00 with a specific contractor, but when the individual contracts are added together total more than \$25,000.00, the Sub-recipient is required to secure a Certificate of Debarment and Suspension from the contractor.

**CERTIFICATION OF DEBARMENT, SUSPENSION,  
INELIGIBILITY AND VOLUNTARY EXCLUSION  
LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98, Section 98.510, Participants Responsibilities. The regulations were published as Part of the May 26, 1988, Federal Register pages 19160-19211.

The prospective recipient of federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

Where the prospective recipient of federal assistance funds is unable to certify to any of the statements in this certification, such a prospective participant shall attach an explanation to this proposal.

\_\_\_\_\_  
Name (print) and Title of Authorized Official

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date